



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TRANSMITTAL  
FORM

(to be used for all correspondence after filing)

Total number of Pages in this submission

Application Number	08/993,696
Filing Date	12/18/1997
First Named Inventor	David J. Schanzlin
Group Art Unit	3738
Examiner Name	D. Willse
Attorney Docket No.	KV-28.21

## BOX AMENDMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

## ENCLOSURES (check all that apply)

- |  |  |   |
|--|--|---|
| <input checked="" type="checkbox"/> FEE TRANSMITTAL FORM       | <input type="checkbox"/> ASSIGNMENT PAPERS             | <input type="checkbox"/> AFTER ALLOWANCE                    |
| <input checked="" type="checkbox"/> FEE ATTACHED               | (FOR AN APPLICATION)                                   | COMMUNICATION TO GROUP                                      |
| <input checked="" type="checkbox"/> AMENDMENT/RESPONSE         | <input type="checkbox"/> DRAWING(S)                    | <input type="checkbox"/> APPEAL COMMUNICATION TO BOARD      |
| <input type="checkbox"/> AFTER FINAL                           | <input type="checkbox"/> LICENSING-RELATED             | OF APPEALS AND INTERFERENCES                                |
| <input type="checkbox"/> AFFIDAVITS' DECLARATION(S)            | <input type="checkbox"/> PAPERS                        |   |
| <input checked="" type="checkbox"/> EXTENSION OF TIME - 5 MOS. | <input type="checkbox"/> PETITION ROUTING SLIP         | <input type="checkbox"/> APPEAL COMMUNICATION TO GROUP      |
|  | (PTO/SB/60) AND  | (APPEAL NOTICE, BRIEF, REPLY BRIEF)                         |
|  | ACCOMPANYING   |   |
| <input type="checkbox"/> EXPRESS ABANDONMENT REQUEST           | <input type="checkbox"/> PETITION)                     | <input type="checkbox"/> PROPRIETARY INFORMATION            |
|  | <input type="checkbox"/> TO CONVERT A                  |   |
| <input type="checkbox"/> INFORMATION DISCLOSURE                | PROVISIONAL  |   |
| STATEMENT W/1449   | APPLICATION  | <input type="checkbox"/> STATUS LETTER                      |
| <input type="checkbox"/> CERTIFIED COPY OF PRIORITY            | <input checked="" type="checkbox"/> POWER OF ATTORNEY, | <input checked="" type="checkbox"/> ADDITIONAL ENCLOSURE(S) |
| DOCUMENT(S)  | REVOCATION CHANGE OF                                   | (PLEASE IDENTIFY BELOW):                                    |
| <input type="checkbox"/> RESPONSE TO MISSING PARTS/            | CORRESPONDENCE ADDRESS                                 | RETURN POSTCARD   |
| INCOMPLETE APPLICATION   | <input type="checkbox"/> TERMINAL DISCLAIMER           | ASSIGNMENT COPY   |
| <input type="checkbox"/> RESPONSE TO MISSING PARTS             | <input type="checkbox"/> SMALL ENTITY STATEMENT        | CERTIFICATE UNDER 37 CFR § 3.73(B)                          |
| UNDER 37 CFR 1.52 OR 1.53                                      | <input type="checkbox"/> REQUEST FOR REFUND            |   |
| COPY OF PTO-1533   |  |   |
| <input type="checkbox"/> ISSUE FEE TRANSMITTAL                 |  |   |
| <input type="checkbox"/>                                       |  |   |
| <input type="checkbox"/>                                       |  |   |

REMARKS:

KERA VISION, INC.  
48630 MILMONT DRIVE  
FREMONT, CALIFORNIA 94538  
TELEPHONE: (510) 353-3000  
FACSIMILE: (510) 353-3030DATE: August 7 2000  
BY: HARRY J. MACEY  
HARRY J. MACEY, REG. NO. 32,818

## CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: August 7, 2000.By: Holly Metz

Name of person signing: Holly Metz

AUG 11 2000 **FEE TRANSMITTAL****For FY 2000**

Patent Fees are subject to annual revision

Small entity payments must be supported by a small entity statement, otherwise

large entity fees must be paid. See Forms PTO/SB/09-12.

See 37 C.F.R. §§ 1.27 and 1.28

**TOTAL AMOUNT OF PAYMENT (\$)** **925.00**

Complete if Known

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**METHOD OF PAYMENT (check one)**

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

**50-1295****KERA VISION, INC.**

Deposit Account Number &amp; Deposit Account Name

- ☒
- Charge any additional fees required under 37 CFR §§ 1.16 and 1.17

- 2.
- ☒
- Payment Enclosed:**

☒ Check ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

LARGE ENTITY		SMALL ENTITY		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	690	201	345	Utility	
106	310	206	155	Design	
107	480	207	240	Plant	
108	690	208	345	Reissue	
114	150	214	75	Provisional	

**SUBTOTAL (1)** \$**2. EXTRA CLAIM FEES**

	Extra Claims	Fee from below	Fee Paid
Total Claims * - 20 **	= *	x *	= \$ *
Independent * - 3	= *	x *	= \$ *
Multiple Dep.		*	= \$ *

\*\* or number previously paid, if greater; for Reissues, see below:

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claim in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim, if not paid
109	78	209	39	** Reissue ind. claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** \$**FEE CALCULATION (continued)****3. Additional Fees**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee	
139	130	139	130	Non-English specification	
147	2520	139	2520	Filing a request for re-examination	
112	920*	112	920*	Req. publ. of SIR prior to Ex. Action	
113	1840*	113	1840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within 1 <sup>st</sup> mo.	
116	380	216	190	Extension for reply within 2 <sup>nd</sup> mo.	
117	870	217	435	Extension for reply within 3 <sup>rd</sup> mo.	
118	1360	218	680	Extension for reply within 4 <sup>th</sup> mo.	
128	1850	228	925	Extension for reply with 5 <sup>th</sup> mo.	925.00
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1510	138	1510	Pet to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1210	241	605	Petition to revive - unintentional	
142	1210	242	605	Utility issue fee (of-reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petition related to provisional apps.	
126	240	126	240	Submission of IDS Statement	
581	40	581	40	Recording each patent assignment per property (x no. of properties)	
146	690	246	345	Filing a submission after final rej.	
149	690	249	345	For each add'l. invention to be examined ( 37 C.F.R. § 1.129(b))	

Other fee (specify):

\*Reduced by Basic Filing Fee Paid **SUBTOTAL (3)** \$ **925.00****SUBMITTED BY**KeraVision, Inc.  
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Fremont, California 94538-7353  
Telephone: (510) 353-3000  
Facsimile: (510) 353-3030Date: August 7, 2000  
By: Harry J. Macey  
Harry J. Macey, Reg. No. 32,818